

**ALACHUA COUNTY
SHIP SINGLE FAMILY HOUSING DEVELOPMENT PROGRAM
SUBRECIPIENT AGREEMENT**

THIS AGREEMENT is made and entered into this _____ day of _____, A.D., 2001, by and between Alachua County, a charter county, and political subdivision of the State of Florida, by and through its Board of County Commissioners, hereinafter referred to as **County**, and the **City of Gainesville, Florida, d/b/a Gainesville Regional Utilities (GRU)**, hereinafter referred to as **Provider**, for the period July 1, 2001 to June 30, 2002.

WITNESSETH

WHEREAS, the County has been awarded State Housing Initiatives Partnership (SHIP) Program funds pursuant to §420.907 et seq., Florida Statutes, as amended, which provides for the implementation of projects designed to address the affordable housing needs of very low, low, and moderate income persons; and,

WHEREAS, said law provides that the County may contract with subrecipient organizations to administer and implement a project as set forth herein; and,

WHEREAS, the Provider has instituted a program to provide for the construction, rehabilitation, or repair of eligible housing units for eligible homeowners; and

WHEREAS, it is in the interest of the County to enter into this agreement with the Provider to implement the project set forth herein for the benefit of low and very low income residents of Alachua County;

NOW, THEREFORE, in consideration of these mutual promises and covenants, the parties hereto agree as set forth in the following sections of this Agreement.

PROVIDER

1. The Provider is the City of Gainesville, Florida, d/b/a Gainesville Regional Utilities, a public body, both corporate and politic, created under the laws of the State of Florida and Alachua County.

DOCUMENTS INCORPORATED BY REFERENCE

2. The following documents are incorporated herein by this reference and made a part hereof:

- Attachment 1: Scope and Schedule of Services
- Attachment 2: Project Budget
- Attachment 3: SHIP Sponsors Financial Report
- Attachment 4: SHIP Program and Activity Status Report
- Attachment 5: Program Summary and Guidelines
- Attachment 6: SHIP Single Family Housing Development Program Application
- Attachment 7: Income Certification
- Attachment 8: Promissory Note
- Attachment 9 Insurance Required

COORDINATION

3. The Provider agrees to carry out the specified project under the general coordination of the County's Department of Growth Management. The Director of the Department of Growth Management or designee is the County's representative under this Agreement.

NOTICES

4. Except as otherwise provided herein, any notice, approval, acceptance, request, bill, demand, or statement hereunder from either party to the other shall be in writing and shall be deemed to have been given when either delivered personally or deposited in the U.S. Mail in a postage-prepaid envelope, addressed to the other party. Either party may at any time change such address by delivering or mailing as aforesaid, to the other party a notice stating the changed address. The addresses of the County and Provider are as follows:

County: Rick Drummond, Director, Department of Growth Management
SHIP Program
10-300 SW 2nd Avenue
Gainesville, FL 32601-6294

Provider: John Gifford
GRU
P.O. Box 147117, Station A-134
301 SE 4th Avenue
Gainesville, FL 32601

A copy of any notice hereunder shall also be sent to:

J. K. "Buddy" Irby
Clerk of the Circuit Court
P. O. Box 939
Gainesville, FL 32602.

SCOPE AND SCHEDULE OF SERVICES

5. The Provider agrees to implement the project as described in **ATTACHMENT 1, SCOPE AND SCHEDULE OF SERVICES**, incorporated herein, for the exclusive benefit of eligible very low income persons as defined in **ATTACHMENT 5, PROGRAM SUMMARY AND GUIDELINES**, incorporated herein, and residing in Alachua County outside the incorporated limits of the City of Gainesville.

FUNDING AND PAYMENT PROCEDURES

- 6.1 The County agrees to reimburse the Provider for eligible expenditures for the specified project during the period of this Agreement in the maximum amount of **Seventy-five thousand dollars (\$75,000)**, unless the maximum amount is changed by mutual agreement of both parties. Such funds must be spent by the Provider in strict accordance with the authorized distribution of funds, as detailed in **ATTACHMENT 2, PROJECT BUDGET**, incorporated herein. If the maximum amount of the Agreement is changed by amendment to this Agreement, such additional funds must be spent by the Provider in strict accordance with the authorized distribution of funds, as detailed in an accompanying amendment to **ATTACHMENT 2**.
- 6.2 Only program and administrative costs for the specified project as described in **ATTACHMENT 2** are eligible for funding.
- 6.3 **Budget Amendment**. The Provider may from time to time amend line items of the Agreement Budget, provided the total amount of such amendments does not exceed ten percent (10%) of the budget; total program delivery costs do not exceed fifteen percent (15%) of total program costs as identified in Attachment 2; total developer fees do not exceed five percent (5%) of total program costs as identified in Attachment 2; and the total contract amount does not change. The Provider shall promptly notify the County of such amendments in writing as they occur. Payment of invoices shall not be made unless the County has received such notification and approval for payment has been granted by the County as set forth in Section 6.4 of this Agreement.

Budget amendments not meeting the above guidelines but not changing the total contract amount may be made only upon prior written approval of the Director of the Department of Growth Management.

Budget amendments which change the total contract amount must be approved by the County through a written amendment to this Agreement.

- 6.4 Payments will be made by the County to the Provider in accordance with the following procedures:
 - a. The Provider may request an initial advance of up to **\$8,000.00** at the commencement of this Contract. This advance is subject to the regular procedures for documentation, although actual documentation need not be received until later in the Program, but must be received

prior to September 30th of the first year of the Contract Term. This advance is to be used to initiate Program Activities, as outlined under the Scope of Services, Attachment 1.

- b. The County will reimburse the Provider on a monthly basis for each request under this Agreement based on actual expenditures which are properly documented as eligible costs for eligible recipients, made in accordance with this Agreement and all Attachments thereto. All requests for payment shall be submitted to the County according to the format established in **ATTACHMENT 3, SHIP SPONSORS FINANCIAL REPORT**, together with supporting documentation in detail sufficient for a proper pre- and post-audit review. The County requires all expenditures for which reimbursement is requested, with the exception of the Developer Fee, be substantiated by copies of paid, i.e. canceled checks (copies front and back). Any other form of backup must be approved by the County Finance & Accounting Department prior to use as approved backup. The Financial Report form shall be signed by the Provider's Chief Executive Officer, who shall certify that, to the best of his or her knowledge, the data reported therein is correct, that the amounts reported therein have been spent for a public purpose according to Florida Statutes, and that none of these payments, nor any portion thereof, have been submitted to or reimbursed by any other public or private organization or person or by the County under any other agreement. Payment will be contingent upon receipt, review, and approval by the County of monthly expenditure reports, along with all supporting documentation required by the County. Monthly expenditure reports must be received by the County within 20 days of the close of the month following the month for which payment is requested (within 50 days total of the requested period), **except that monthly financial reports for the month of September should be received by the County by October 7, 2001.** After the Financial Report and supporting documentation have been reviewed and approved, the County will issue an appropriate check covering these expenditures. It is fully and expressly agreed that the County's determination as to the acceptability of the subject Financial Report, as well as all supporting documentation for same, shall be conclusive.
- c. The County will reimburse the provider from the following source of funds: FY 2000-01 SHIP Allocation, which must be encumbered no later than March 31, 2002 and be expended no later than June 30, 2002, pursuant to Rule 67-32.002(16) and 9I-32.002(17), Florida Administrative Code. The County and the Provider agree that all requests for reimbursement and payments; and, any request, modification, amendment or new contract, which extends the period of the agreement, shall be consistent with these Florida Administrative Code provisions.
- d. Supporting documentation shall consist of the following:
1. Documentation of all costs for the specified project as described in **ATTACHMENT 2.** The County requires all expenditures for which reimbursement is requested, with the exception of the Developer Fee, be substantiated by copies of paid, i.e. canceled checks (copies front and back). Any other form of backup must be approved by the County Finance & Accounting Department prior to use as approved backup. All such costs shall be itemized in a summary report accompanying the SHIP Sponsors Financial Report and shall be identified as Program Costs, Program

Delivery Costs, or Developer Fees. Where any other funding sources are utilized, in addition to SHIP funds, for any itemized cost, all such funding sources shall be identified in the summary report.

2. Documentation of each assisted household as an eligible recipient under program guidelines identified in **ATTACHMENT 5**, including the following:
 - (a) SHIP Application, signed by applicant (**ATTACHMENT 6**, incorporated herein)
 - (b) Income Certification, signed and recertified by applicant(s) as of the date of commitment of funds, with attached verifications, as appropriate (**ATTACHMENT 7**, incorporated herein) or their equivalent
 - (c) Proof of Home Ownership, or Closing Documents showing proof of Purchase
 - (d) Proof of Land Acquisition (Deed)
 - (e) Building Report identifying for each assisted unit: (1)final appraised value of the unit, (2)identification of all repairs performed, (3) dates of repairs, (4) all funding sources, including SHIP, utilized in completing the repairs
 - (f) Copy of executed contractual agreement between Provider or eligible recipient and contractor for repairs to be performed
 - (g) Copies of building permits, final inspections, and certificates of inspection for any work requiring a permit.
 - (h) Executed Promissory Note (**ATTACHMENT 8**, incorporated herein)
- e. Invoices, monthly SHIP Financial Reports and accompanying support documentation shall be sent to:

Housing Programs Coordinator, Department of Growth Management
SHIP Program
10-300 SW 2nd Avenue
Gainesville, FL 32601-6294

with a copy mailed to:
J.K. "Buddy" Irby
Clerk of the Circuit Court
P.O. Box 939
Gainesville, FL 32602
Attn: Finance and Accounting

The name and address of the official payee to whom payments shall be made by the County under this agreement is:

John Gifford
GRU

P.O. Box 147117, Station A-134
301 SE 4th Avenue
Gainesville, FL 32601

- f. The Provider must submit the final request for payment and SHIP Financial Report to the County no later than 90 days after this Agreement ends or is terminated. If the Provider fails to do so, all rights to payment are forfeited, and the County will not honor any request submitted after the aforesaid time period. Any payment due the Provider under the terms of this Agreement may be withheld until all reports due from the Provider, and necessary adjustment(s) thereto, have been approved by the County.
- g. The Provider will allow a reasonable time from the date of the County's receipt of acceptable payment requests for the County to process payments.

6.5 Payments to the Provider shall be limited to one per month. Any funds not expended for a given month, may be expended in a subsequent month as long as the total amount does not exceed the maximum amount authorized by this Agreement. All costs must be incurred and work completed during the term of this Agreement to be eligible for reimbursement; however, actual payment to the Provider may be after the Agreement expires.

6.6 Any unused or residual funds remaining at the termination of this Agreement shall be retained by the County, except that if such funds are less than the amount needed to complete repairs for the next homeowner eligible for repairs under County and Provider program guidelines, then these funds may be carried over and used in conjunction with funds allocated to the Provider by contract for the next fiscal year, if any.

REPORTING

- 7.1 No later than the twentieth (20th) day of the second (2nd) month following the end of each monthly period of this Agreement, the Provider shall furnish the County with a **SHIP PROGRAM AND ACTIVITY STATUS REPORT (ATTACHMENT 4)**, incorporated herein. (For example--the Monthly Report for July must be received by September 20th.) The Report must document Provider performance in implementing the project described in **ATTACHMENT 1**. The Report must be accompanied by data on project beneficiaries, as provided in Section 6.4.c.2 of this Agreement. Failure to submit a timely Report and accompanying project beneficiary data may result in delay or forfeiture of payment under this Agreement.
- 7.2 The final SHIP Program and Activity Status Report shall be due no later than **90 days** from the expiration of this Agreement.
- 7.3 Upon request, the Provider shall report actions taken and data collected to ensure compliance with applicable local, State and Federal non-discrimination and affirmative action regulations.

- 7.4 The Provider agrees to submit to the County such additional project and financial data, including beneficiary identifiable data, as may be requested by the County for inclusion in the County's SHIP Annual Report.

AGREEMENT DURATION, EXTENSION AND TERMINATION

- 8.1 This Agreement shall be effective for the period from July 1, 2001, to June 30, 2002, unless extended or terminated sooner in accordance with this Section.
- 8.2 This Agreement may be **extended for one period of up to three months** upon mutual agreement of both parties. Said extension shall be in the form of a letter signed by the Director of the Department of Growth Management and an authorized representative of the Provider. It shall be effective upon signature by both parties and shall be considered an amendment to this Agreement and executed with all the formalities of an agreement.
- 8.3 In the event the County determines on the basis of a review of the Provider's performance that the requirements of this Agreement have not been met, the County may take one or more of the actions authorized in Paragraphs 8.4 and 8.5 of this Section. In each instance, the action taken will be designed to, first, prevent a continuance of the deficiency (lack of progress, non-conformance, non-compliance, lack of continuing capacity); second, mitigate any adverse effects or consequences of the deficiency to the extent possible under the circumstances; and third, prevent a recurrence of the same or similar deficiencies.
- 8.4 If the County determines that the requirements of this Agreement have not been met, the County may take one or more of the following actions, as appropriate to the circumstance:
- a. Request the Provider to submit additional information:
 - Concerning the administrative, planning, budgeting, management, and evaluation functions to determine any reasons for lack of progress,
 - Explaining any actions being taken to correct or remove the causes for delay,
 - Documenting that activities undertaken were in conformance with this Agreement or in compliance with applicable laws or regulations,
 - Demonstrating that the Provider has a continuing capacity to carry out the approved project in a timely manner, or
 - As may be appropriate.
 - b. Request the Provider to submit revised progress schedules for completing required activities.

- c. Issue a letter of warning that advises the Provider of the deficiency and puts the Provider on notice that further sanctions, including those listed in Sections 8.5 and 8.6 of this Agreement, will be taken if the deficiency is not corrected or is repeated.

8.5 If the County, in its sole discretion, determines that the Provider has materially failed to comply with the requirements of this Agreement, the County may take one or more of the following actions as appropriate to the circumstance:

- a. Advise the Provider to suspend, discontinue or not incur costs on current or future activity under the Agreement,
- b. Advise the Provider to reimburse the County for any amount improperly expended,
- c. Temporarily withhold payments pending correction of the deficiency by the Provider,
- d. Disallow all or part of the cost of the activity or action not in compliance,
- c. Terminate the Agreement in accordance with Section 8.6, or
- d. Take other remedies that may be legally available.

8.6 If the County determines that it is necessary to suspend or terminate this Agreement, it may do so by giving prior written notice to the Provider of such suspension or termination and specifying the effective date thereof, at least ten (10) days before the effective date of such suspension or termination. Upon such suspension or termination, the Provider shall be entitled to payment of such amount as reasonably determined by the County for work satisfactorily performed prior to the suspension or termination date; provided, however, that no allowance shall be made for suspension or termination expenses.

If funds to finance this Agreement become unavailable, the County may terminate the Agreement with no less than twenty-four (24) hours notice in writing to the Provider. The County will be the final authority as to the availability of funds. The County will pay the Provider for all work completed prior to any notice of termination.

8.7 Except as provided in Paragraphs 8.5 and 8.6 of this Section, this Agreement may be terminated by either party, without cause, upon prior written notification to the other party, specifying the termination date, which in no event shall be less than sixty (60) days from the date such notice is given, setting forth the reason(s) for such termination. In the event of such termination, the Provider shall be paid such amount as shall compensate for work satisfactorily performed prior to the termination date. Such amount shall be determined by the County, and all costs shall be subject to prior approval by the County. Termination under this paragraph shall not give rise to any claim for causes of action against the County, its employees, agents, or officers, including, but not limited to, causes of action in contract or tort or for damages or for compensation in addition to that provided hereunder.

ACCOUNTABILITY

- 9.1 The Provider agrees to maintain such property, personnel, financial, and other records and accounts as are necessary to properly account for all funds expended in performance of this Agreement and to allow for the audit of SHIP funds by the County, Florida Housing Finance Corporation (FHFC), State Comptroller and/or their designees. Funds provided by the County for the SHIP Housing Grants Program shall be accounted for in a separate fund with a set of accounts that are independent of other program accounts. The Provider shall comply with the applicable policies, guidelines and requirements of Office of Management and Budget (OMB) Circulars A-110 and A-122, incorporated by reference into this Agreement, as they relate to the receipt and use of SHIP funds.
- 9.2 All records and accounts related to this Agreement shall be retained for and be subject to inspection, review or audit by the County and FHFC for a period of three (3) years following the date of submission of the County's Annual Report to FHFC in which the project under this Agreement is reported. Such review shall be during the regular working hours of the Provider. Upon request, the Provider shall transfer copies of these records and accounts to the custody of the County in order to ensure their accountability for such a period.

AUDIT

10. The Provider agrees to have an annual audit of financial statements performed in accordance with the Government Auditing Standards developed by the Comptroller General of the United States. Such audit shall comply with OMB Circular A-133, incorporated herein by reference. The audit shall be performed annually for the Provider's entire organization, shall cover the Provider's fiscal year, and shall include specific review of the SHIP Program. It shall be performed by auditors who meet the independence standards specified in OMB Circular A-133.

The Provider shall ensure that audit workpapers and reports are retained for a minimum of three (3) years from the date of the audit report, unless the Provider is notified in writing by the County to extend the retention period. The Provider shall also ensure that audit workpapers are made available upon request to the County or its designee.

Two (2) copies of the audit report shall be submitted to the County's Department of Growth Management within 30 days after the completion of the audit, but in no case should the audit be completed and the report submitted later than 120 days after the end of the Provider's fiscal year. If a management letter or any other reports or correspondence relating to audit findings or recommendations are issued in connection with the audit, copies shall accompany the audit report.

CONFLICT OF INTEREST

11. No person who is an employee, agent, consultant, officer, or elected official or appointed official of

the County or the Provider who exercises or has exercised any functions or responsibilities with respect to activities assisted with SHIP funds or who is in a position to participate in a decision-making process or gain inside information with regard to these activities, may obtain a financial interest or benefit from a SHIP-assisted activity, or have a financial interest in any contract, subcontract or agreement with respect thereto, or the proceeds thereunder, either for themselves or those with whom they have family or business ties, as defined in Chapter 112, Part III, Florida Statutes, during their tenure or for one year thereafter.

LOBBYING

12.1 The Provider shall not use funds under this Agreement to directly or indirectly support, defeat or influence:

- a. The outcome of any Federal, State, or local election, referendum, initiative, or similar procedure, or
- b. The introduction, enactment, or modification of any pending Federal, State, or local legislation.

12.2 The Provider also certifies that:

- a. No State appropriated funds have been paid or will be paid, by or on behalf of the Provider, to any person for influencing or attempting to influence an officer or employee of the Florida State Legislature, or an employee of a Member of the Legislature in connection with the awarding of any state or local contract, the making of any state or local grant, the making of any state loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any state contract, grant, loan, or cooperative agreement.
- b. If any funds other than State appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of the Florida State Legislature, an officer or employee of the Legislature, or an employee of a Member of Legislature in connection with this

Agreement, the Provider shall complete and submit a letter of disclosure informing the County of those payments.

INDEPENDENT CONTRACTOR

13. In the performance of this Agreement, the Provider will be acting in the capacity of an independent contractor and not as an agent, employee, partner, joint venturer, or associate of the County. The Provider shall be solely responsible for the means, method, techniques, sequences, and procedures

utilized by the Provider in the full performance of the Agreement. Neither the Provider nor any of its employees, officers, agents or any other individual directed to act on behalf of the Provider for any act related to this Agreement shall represent, act, purport to act, or be deemed to be the agent, representative, employee or servant of the County.

INDEMNIFICATION

14. The City agrees that, subject to the limits and provisions of Section 768.28, Florida Statutes, and to the extent of its negligence, to indemnify, protect, defend, and hold the County and its officers, employees and agents free and harmless from and against any and all losses, penalties, damages, settlements, costs, charges, professional fees or other expenses or liabilities of every kind and character arising out of or directly or indirectly relating to any and all claims, liens, demands, obligations, or actions, proceedings or causes of action of every kind and character in connection with or arising directly or indirectly out of this Agreement and/or the performance hereof. The City agrees to provide any legal defense necessary for such suit or legal action at no cost to the County. The Provider agrees that its indemnification of the County shall extend to any and all work performed by the Provider, its subcontractors, employees, agents, servants, or assigns.

The County agrees that, subject to the limits and provisions of Section 768.28, Florida Statutes, to indemnify, protect, defend, and hold the City and its officers, employees, and agents harmless should any claim, suit, or legal action be brought against the County as a result of the implementation of this Agreement. The County agrees to provide any legal defense necessary for such suit or legal action at no cost to the City.

This obligation shall in no way be limited in any nature whatsoever by any limitation on the amount or type of Provider's insurance coverage. This indemnification provision shall survive the termination of the Agreement between the County and the Provider.

In any and all claims against the County or any of its agents or employees by any employee of the Provider, any subcontractor, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, the indemnification obligation under this Section 14 shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by or for the Provider or any subcontractor under workmen's compensation acts, disability benefit acts or employee benefit acts.

INSURANCE

- 15.1 Public Liability Insurance. As the City of Gainesville is a self-insuring entity, additional proof of insurance is not required.
- 15.2 Fidelity Insurance. As the City of Gainesville is a self-insuring entity, additional proof of insurance

is not required.

COMPLIANCE WITH FEDERAL, STATE AND LOCAL
RULES, REGULATIONS AND LAWS

16. The Provider shall comply with all applicable laws, orders and regulations of the Federal, State and local governments as they pertain to this Agreement. These include but are not limited to provisions of Section 420.907 - .9079, Florida Statutes, Rule Chapter 9I-37, Florida Administrative Code, and the Alachua County Housing Initiatives Partnership Ordinance 93-11, as amended by Ordinances 93-15 and 93-33.

SHIP FUNDING RECOGNITION

17. The Provider shall include in all advertisements, newsletters, and/or promotions that refer specifically to the project assisted hereunder, a statement that the project is funded in whole or in part by Alachua County State Housing Initiatives Partnership Program.

FINANCIAL OBLIGATION OF THE COUNTY

18. This Agreement is not a general obligation of the County, nor does it constitute a pledge of the full faith and credit of the County, but shall be a commitment only as to the County's State Housing Initiatives Partnership funds. In the event there are insufficient monies available in the Housing Assistance Trust Fund to meet the commitments of the County created by this Agreement, the County will have no further commitments under this Agreement and shall not be considered in breach thereof.

ASSIGNMENT BY PROVIDER

19. The Provider shall not assign, transfer, convey, pledge, or otherwise dispose of this Agreement or any interest in this Agreement without the prior consent of the County in writing.

CHANGES/AMENDMENTS

20. The County or the Provider may, from time to time, request changes in the scope and schedule of services to be performed hereunder. Changes in line item budgeted amounts are permissible as provided in Section 6.3 of this Agreement and an extension of the Agreement is permissible as provided in Section 8.2 of this Agreement. However, any increase or decrease in the total amount of funding or any other change or amendment shall be negotiated by the County and the Provider, and if mutually agreeable, shall be incorporated as written amendments to this Agreement and shall be executed with the same formalities as this Agreement.

LAW AND VENUE

21. This Agreement shall be governed in accordance with the laws of the State of Florida. Venue shall be in Alachua County.

NO THIRD PARTY BENEFICIARIES

22. Nothing contained herein shall create any relationship, contractual or otherwise, with, or any rights in favor of, any third party.

SEVERABILITY

23. If any term or provision of this Agreement be invalid or unenforceable, the remainder of this Agreement shall not be affected thereby, and each term and provision of this Agreement shall be valid and enforceable to the fullest extent permitted by law.

ENTIRE AGREEMENT

24. This contract constitutes the entire agreement between the parties hereto pertaining to the Scope of Services and all other Attachments hereunder. All negotiations and oral agreements are included herein.

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24. This contract constitutes the entire agreement between the parties hereto pertaining to the Scope of Services and all other Attachments hereunder. All negotiations and oral agreements are included herein.

IN WITNESS WHEREOF, the parties have hereto set their hands and seals on the day and year first above-written.

ALACHUA COUNTY, FLORIDA

By: _____
Dave Newport , Chair

Board of County Commissioners

ATTEST:

APPROVED AS TO FORM:

J. K. "Buddy" Irby, Clerk
(SEAL)

Alachua County Attorney

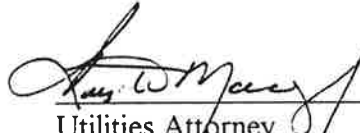
CITY OF GAINESVILLE, FL, D/B/A
GAINESVILLE REGIONAL UTILITIES

ATTEST:

By: _____
Thomas D. Bussing, Mayor

Corporate Secretary
(SEAL)

APPROVED AS TO FORM AND LEGALITY:



Utilities Attorney,
City of Gainesville, FL

ATTACHMENT 1
SCOPE AND SCHEDULE OF SERVICES

(See attached Application)

**ALACHUA COUNTY SHIP PROGRAM
SINGLE FAMILY HOUSING DEVELOPMENT PROGRAM
1999-2000 FUNDING CYCLE
APPLICATION FOR SPONSOR FUNDING**

APPLICANT'S PROJECT PRIORITY # 1 OF 1

<p>1. Name of Applicant or Organization Gainesville Regional Utilities</p>	<p>2. Contact Person John Gifford, P.E.</p>
<p>3. Telephone Number of Applicant or Contact Person (352) 334-3400 ext. 1297</p>	<p>4. Address of Applicant P.O. Box 147117 Station A-134 301 SE 4th Ave Gainesville, FL 32601</p>
<p>5. Name of Project SE 49th Drive Water Main Installation</p>	
<p>6. Time Period of Proposed Project From: Time funds are secured To: 0.85 years after funds are Secured</p>	<p>7. Location of Proposed Project SE 49th Drive Gainesville, FL</p>
<p>8. Amount of SHIP Funds Requested FOR THIS PROJECT: Program Funds: \$71,250.00 Administration Funds: \$3,750.00</p>	<p>9. Amount of Other Funds Available for Proposed Project: \$3,394.00 (List Sources in Project Budget Section)</p>
<p>Number of WAGES or WDI clients provided employment opportunities: <u> N/A </u> NOTE: Please attach list showing each client's name and social security number by program.</p>	
<p>10. Estimated No. Of People or Households who will Directly Benefit from Project Persons <u> Unk </u> Households <u> 23 </u></p>	<p>11. Target Group(s) Affected by Project: Low to very low income</p>
<p>12. Why is this Project Needed? The target area has inadequate fire protection as well as poor groundwater quality. All residents in the area are served by private wells.</p> <p style="text-align: center;"><input type="checkbox"/> Check if continued on additional page(s) and attach</p>	
<p>13. List Goal(s) or Objective(s) of Project: To enhance the quality of life and provide fire protection for the low to very low income families in this area through the availability of GRU treated potable water.</p>	

14. Description of Project:

Describe the project for which funding is being requested. Explain how goals/objectives will be accomplished. Include time schedule and other pertinent information about the project. (For specific items that must be addressed in this section, please see attached instructions.)

The project will provide low income families with a safe, reliable high quality source of potable water and provide the standard level of fire protection. The groundwater in the area is poor in quality and there is no fire protection. Through installation of the proposed water mains, fire hydrants and water meters; residents would have a safer potable water supply available for connection to their homes and a level of fire protection that meets present standards.

Upon Receipt of Funds:

1. Set up locates on SE 49 th Dr	1 Week
2. Survey Project Area	2 Weeks
3. Engineering Design	3 Weeks
4. Alachua County Permit Review	2 Weeks
5. Purchase materials for project	8 Weeks
6. Set up locates on SE 49 th Dr	1 Week
7. Begin construction on SE 49 th Dr	1 Week
8. Lay water main, tie in services, set meters.	8 Weeks
9. Flush, Disinfect and Sample New Water Main	1 Week
10. Repair Asphalt Street and Concrete Driveways	2 Weeks
11. Plumbers to Connect Each Home to New Service	2 Weeks
12. End construction on SE 49 th St.	1 Week
13. Clean up, resodding, reseeding of project area	2 Weeks

Total: 34 Weeks

Check if continued on additional page(s) and attach

(PLEASE SEE ATTACHED INSTRUCTIONS FOR EACH ITEM)

15. PROJECT BUDGET

REVENUES BY SOURCE	CURRENT YEAR BUDGET (indicate year): ALL SOURCES EXCEPT SHIP FUNDS	CURRENT YEAR BUDGET PROPOSAL (indicate year): SHIP FUNDS
1. Contributions		
2. SHIP - Alachua County		2001: \$75,000.00
3. Other Governmental Agencies		
4. Other	2001: \$3394.00	
TOTAL REVENUES	2001: \$3394.00	2001: \$75,000.00

EXPENDITURES BY CATEGORY		
1. Personnel	\$3394.00	\$21,250.00
2. Material & Supplies		\$50,000.00
3. Printing & Binding		
4. Postage		
5. Telephone		
6. Utilities		
7. Travel/Conference		
8. Rental – Equipment		
9. Rental – Building		
10. Professional Services		
11. Other Project Costs: - -		
12. Capital Outlay		
EXPENDITURE SUBTOTALS:¹ Unit Construction/Repair Costs Program Delivery Costs Administrative Costs	N/A	\$3,750.00
TOTAL EXPENDITURES	\$3,394.00	\$75,000.00

¹ Please provide a breakout of Unit Construction/Repair Costs, Program Delivery Costs, and Administrative Costs on the Project Budget Worksheet (next page). (See Program Guidelines, Section VIII, p. 3-4, for definitions and examples of these costs.)

Location	# of homes	LF of 6" PVC	Hydrants	6" X 6" Anchoring Tee	6" Gate Valve
SE 49th Dr	23	1200	2	2	3

Total = 23 1200 2 2 3

COSTS

Item	Unit Cost	Line Cost
1200 LF of 6" PVC Installed	\$15 /LF	\$18,000
2 Fire Hydrants	\$1,500 ea	\$3,000
2 Anchoring Tees	\$400 ea	\$800
3 6" Gate Valves	\$350 ea	\$1,050
450 LF of 1" HDPE Service Tubing	\$1 /LF	\$450
12 Dual Service Setups	\$500 ea	\$6,000
9 Driveways replaced to first joint -- 250 ft^2 per driveway @	\$2.55 /ft^2	\$5,738
Sod -- 1200' X 10' = 12,000 ft^2	\$0.25 per ft^2	\$3,000.00
\$205 meter charge per residence =		\$4,715
Alachua County Roadway Permit		\$55
6 Road Crossings along SE 49th Dr to serve residences		
Directional Bore approx 300 LF of 2" HDPE @	\$20 per LF	\$6,000
Avg. cost of connecting home plumbing to meter service	\$700 per home	\$16,100

Line Item Total	\$64,908
15% Contingency	\$9,736
Admin Costs	\$3,750
Subtotal	\$78,394
GRU Expenditures	\$3,394

Grand Total = \$75,000

**ATTACHMENT 2
PROJECT BUDGET**

EXPENDITURES BY CATEGORY	CURRENT YEAR BUDGET PROPOSAL FOR FY 2000-2001 : SHIP FUNDS
PROGRAM COSTS	
1. HARD COSTS ⁽¹⁾ (Material and labor used in performance of eligible construction, rehabilitation, or repair activities on assisted eligible units; other professional costs related to acquisition, construction, rehabilitation, repair, and/or sale of assisted eligible units)	
Unit Repair Costs: Subtotal	\$63,750.00
2. PROGRAM DELIVERY COSTS ⁽¹⁾ (Costs to sponsor agency directly linked to performance of eligible construction/repair activities on assisted eligible units: no more than 15% of Total Program Costs)	
Staff salaries for work write-ups, estimates, contract monitoring on assisted units; travel costs to project sites (subject to sec. 112.061, Florida Statutes)	\$ 11,250.00
Program Delivery Costs: Subtotal	- \$11,250.00
Percent of Total Program Costs	- 15%
TOTAL PROGRAM COSTS (Sum of Hard Costs and Program Delivery Costs)	\$75,000.00
DEVELOPER FEE ⁽¹⁾ (No more than 5% of Total Program Costs, may be used for any expense incurred in the performance of this grant, paid on a per unit basis)	\$ 0.00
Developer Fee Total	- \$ 0
Percent of Total Program Costs	- 0.0%
TOTAL EXPENDITURES	\$75,000.00

ATTACHMENT 3
ALACHUA COUNTY
SHIP SPONSORS FINANCIAL REPORT
2000-2001 Single Family Housing Development

A. Subrecipient Organization: GAINESVILLE REGIONAL UTILITIES

B. Reporting Period: _____

C. Program Revenues:

	<u>Approved Budget</u>	<u>Current Period</u>	<u>Cumulative To-Date</u>	<u>Available Balance</u>
1. Alachua County/ SHIP Funds	_____	_____	_____	_____
2. Subrecipient/Matching Contribution	_____	_____	_____	_____
Total Cash Received	_____	_____	_____	_____

D. Program Expenditures:

	<u>Approved Budget</u>	<u>Current Period</u>	<u>Cumulative To-Date</u>	<u>Available Balance</u>
1. Program Costs	<u>\$63,750</u>	_____	_____	_____
2. Program Delivery Costs	<u>\$ 11,250</u>	_____	_____	_____
3. Developer Fee (not to exceed 5% of Total Program Costs; paid per unit Completed)	<u>\$ 0.00</u>	_____	_____	_____
Total Expenditures	<u>\$75,000</u>	_____	_____	_____

E. SHIP cash balance at end of this reporting period: \$ _____

F. SHIP funds now requested: \$ _____

I CERTIFY THAT, TO THE BEST OF MY KNOWLEDGE, THE DATA REPORTED HEREIN IS CORRECT, THAT THE AMOUNTS REPORTED HEREIN HAVE BEEN SPENT FOR A PUBLIC PURPOSE ACCORDING TO FLORIDA STATUTES, AND THAT NONE OF THESE PAYMENTS, NOR ANY PORTION THEREOF, HAVE BEEN SUBMITTED TO OR REIMBURSED BY ANY OTHER PUBLIC OR PRIVATE ORGANIZATION OR PERSON OR BY THE COUNTY UNDER ANY OTHER AGREEMENT.

AUTHORIZED SIGNATURE: _____

TITLE: _____ DATE: _____

ATTACHMENT 4

ALACHUA COUNTY
SINGLE FAMILY HOUSING DEVELOPMENT PROGRAM

SHIP ACTIVITY STATUS

Page ____ of ____

PART II

SUBRECIPIENT ORGANIZATION

REPORTING PERIOD _____

REPORT AS APPROPRIATE - Activity Status/Accomplishment

Empty reporting area for activity status and accomplishment.

SHIP PROGRAM REPORT PART I	AGENCY/DEPARTMENT CONTACT PERSON	PAGE OF REPORTING PERIOD	
ACTIVITY SUMMARY 1) Activity name, description, and unit of measurement 2) Activity Status a) Percentage activity completed this period b) Percentage activity completed year-to-date 3) Activity Fund Status: a) Funds expended this reporting period b) Total funds expended this program year c) Unliquidated obligation d) Unobligated balance Location: Address A	B	C	D
	E	F	G
	H	I	J
	K	L	M
	N		COMMENTS

ATTACHMENT 5
ALACHUA COUNTY SHIP PROGRAM
FY 2000-2001 SINGLE FAMILY HOUSING DEVELOPMENT PROGRAM
SUMMARY AND GUIDELINES

PROGRAM SUMMARY

In the Alachua County FY 2000-2001 Local Housing Assistance Plan (LHAP), \$400,000 in SHIP funds are allocated for Single Family Housing Development program costs. The LHAP further sets the following guidelines for the expenditure of these funds:

- Maximum award per unit = \$30,000**
- Minimum award per unit = no minimum**
- Average award per unit = \$5,000**

The Provider may also request a Developer Fee in an amount not to exceed five percent (5%) of the program cost allocation.

The SHIP Single Family Housing Development Program will be used to fund emergency repair and rehabilitation of very-low income owner-occupied units. Eligible activities will include:

- Emergency Repair/Rehabilitation of substandard housing;
- Moderate Housing Rehabilitation;
- Demolition/Reconstruction of existing homes;
- New home construction;
- Acquisition of land for new construction; and
- Leveraging for State/Federal Grant or Loan Programs (ex. CDBG, HOME)

In order to qualify for assistance under this program, an eligible person (recipient) must meet the following **threshold requirements**:

- 1. Must be Low or Very Low Income under current HUD Revised Income Guidelines (see Table 1)**
- 2. Must own and occupy the home in which applicant resides as a principal residence (either before rehabilitation, or after construction as a result of purchase)**
- 3. Must reside in unincorporated Alachua County with a demonstrated need for Housing Assistance**

All SHIP funds in this category (except those for projects determined as Emergency Repair) will be in the form of 5-year, forgivable loans, principal forgiven at the rate of 20% per annum, with a Satisfaction of Mortgage presented at the end of the fifth year. Those recipients of Emergency Repair funds shall be awarded funding in the form of a non-obligation grant.

Individual applicants meeting the threshold requirements will be served on a first-come first-served basis until the funds reserved for this activity are completely expended, except that priority may be given to applicants who are living in unsafe structures and are handicapped, elderly, or families with children. When SHIP funds are being used to supplement, match, or leverage existing funding sources for emergency repair or housing rehabilitation, first priority will be granted to qualified applicants already on waiting lists for assistance.

[See Table 1 for SHIP Income Guidelines]

**ALACHUA COUNTY SHIP PROGRAM
SINGLE FAMILY HOUSING DEVELOPMENT PROGRAM**

PROGRAM GUIDELINES

I. GENERAL PURPOSE

A. Project Area and Purpose

The SHIP Single Family Housing Development Program (the "Program") is available to provide emergency repairs, rehabilitation, and construction assistance to eligible low and very low income homeowners residing in Alachua County (the "County") outside the incorporated limits of the City of Gainesville. Funding will be made available through **eligible sponsors** who will be awarded funds on a competitive basis to serve **eligible persons (recipients)** to implement the Program. An eligible sponsor is a person or private or public for-profit or not-for-profit entity that applies for an award under the Program for the purposes of providing eligible housing for eligible persons, as defined in these guidelines and in the applicable rules and regulations of the Florida Housing Finance Corporation ("FHFC"). Preference will be given to eligible sponsors that provide employment opportunities to clients of the State Work Development Initiative (WDI) and the Work and Gain Economic Self-Sufficiency (WAGES) Program.

B. Operating Rules and Regulations

This Program shall be operated in accordance with all applicable rules and regulations of the County, the State of Florida, and FHFC.

II. FUNDING SOURCE

Funding for these projects, with the exception of those projects designated as Emergency Repair, shall be in the form of 5 year, forgivable, deferred payment loans. These loans shall be at zero percent interest, and made between the County and the Home Owner. Each year that the Owner resides in the assisted unit, the loan principal shall be reduced by 20%, with the County issuing a Satisfaction of Mortgage Agreement at the end of the 5-year term. Should the Owner sell the home prior to the end of their term, they shall be responsible to the County for the remaining pro-rated principal.

Funding for those projects designated as Emergency Repair Projects shall be in the form of a non-obligation grant. To be designated as an Emergency Repair Project the unit must:

Be the dwelling of a household receiving less than 50% of the Median Family Income as adjusted to Family Size; OR

Be the dwelling of a disabled person; AND

Be in a severely distressed state, such a state defined as a dwelling where continued habitation would put the owner at serious physical risk, and defined as such by approved County inspectors.

If the above conditions are met, funding will be provided to the Sponsor to be used as either the sole source funding or leveraging to obtain other sources of funding. These funds, then, will be free of the recapture provisions that govern the normal use of funds in this Program. The funding source shall be Alachua County's SHIP Grant for the FHFC Fiscal Year 2000-2001 funding cycle.

III. ELIGIBLE PROGRAM RECIPIENTS

An eligible recipient must meet three (3) key eligibility criteria in order to participate:

- Must **own and occupy** (either before construction in the case of rehabilitation, or after if the funds are being used to construct an entirely new home) the home in which he/she resides as a **principal residence**.
- Must be **low or very low income**; that is, the recipient(s) must have an annual gross income that does not exceed fifty percent (80%) of the median income for the area for his/her household size (see **Table 1**).
- Must reside in **unincorporated Alachua County** with a demonstrable need for housing assistance.

IV. ELIGIBLE PROPERTY

Any existing owner-occupied unit, or residentially zoned property, located within Alachua County outside the incorporated limits of the City of Gainesville is eligible for assistance.

Maximum property value for existing single family properties after rehabilitation or construction shall not exceed 90% of the median area purchase price of existing single family housing as established by the U.S. Department of Treasury.

Mobile homes and rental properties may **not** be assisted.

V. PROPERTY STANDARDS

The property may meet or exceed the local housing code requirements preceding the repairs, except where the Applicant is applying for Emergency Repair funding.

VI. FORM OF OWNERSHIP

Ownership types include the following:

- Fee simple title in a single family attached or detached unit; **OR**
- Ownership of share(s) in a cooperative corporation with a proprietary lease in a residential cooperative unit;
OR
- Life estate for the life of the recipient in a single family attached or detached unit; **OR**
- Real estate/Construction Contract showing purchase price and method of financing.

VII. GRANT

SHIP Assistance for Single Family Housing Development (except for those projects designated as Emergency Repair as detailed above) shall be awarded as 5-year, zero interest, forgivable loans. These loans shall be reduced by 20% each of the first five years a recipient resides in the home; at the end of the fifth year, a Satisfaction of Mortgage shall be completed by the County.

The assistance is available only **once** during a recipient's lifetime.

VIII. ELIGIBLE COSTS

\$315,000 is allocated to Single Family Housing Development: \$300,000 is available for **Program Costs**; \$15,000 is available for **Developer Fees**.

Eligible **Program Costs** include the following:

- Unit Repair Costs: Material and labor used in performance of eligible construction, rehabilitation or repairs on assisted eligible units; other professional costs related to construction, rehabilitation or repair of assisted eligible units.
- Program Delivery Costs: Costs to sponsor agency directly linked to performance of eligible construction/repair activities on assisted eligible units; no more than 15 % of total program costs.

Eligible **Developer Fees** will be paid on the basis of unit completion:

- A completed unit is one for which there has been a closing, and ownership has been transferred to the applicant, or, in the case of rehabilitation activities, the receipt of a Final Inspection or Certificate of Occupancy. The total Developer Fees may not exceed 5% of the Total Program Costs.

IX. DISBURSEMENT OF FUNDS

Funds shall be distributed by Alachua County directly to those program sponsors selected by the County upon receipt of appropriate documentation of eligible expenditures and client eligibility information, which shall include the following:

- Completed SHIP Single Family Housing Development Program Household Application Form (**Exhibit 1**)
- Income Certification (**Exhibit 2**) with verification of all income
- Proof of Ownership of eligible property located within Alachua County outside the incorporated limits of the City of Gainesville
- Completed repair/rehabilitation building report
- Copy of property appraisal or other evidence of current market value of the eligible property

- Executed SHIP Grant Acceptance Agreement (**Exhibit 3**)

Funding for the 2000-2001 funding cycle shall be fifty percent (50%) encumbered by June 30, 2002, and one hundred percent (100%) encumbered by September 30, 2002 unless the County approves a modified schedule upon good cause shown by the program sponsor. Funds shall be completely expended by June 30, 2003.

TABLE 1
SHIP INCOME GUIDELINES
2000-2001 FUNDING CYCLE *

FAMILY SIZE (persons)	MEDIAN INCOME	LOW INCOME (80% MF1**)	VERY LOW INCOME (50% MF1**)
1 person	\$27,900	\$23,900	\$14,950
2 persons	\$31,900	\$27,350	\$17,100
3 persons	\$35,900	\$30,750	\$19,200
4 persons	\$39,900	\$34,150	\$21,350
5 persons	\$43,100	\$36,900	\$23,050
6 persons	\$46,300	\$39,600	\$24,750
7 persons	\$49,500	\$42,350	\$26,450
8 persons	\$52,700	\$45,100	\$28,200

* Guidelines subject to change upon issuance of revised Income Guidelines by IIUD.

** Median Family Income

EFFECTIVE DATE: January 27, 1999 SOURCE: Florida Housing Finance Corporation

**ATTACHMENT 6
ALACHUA COUNTY S.H.I.P. PROGRAM
SINGLE FAMILY HOUSING DEVELOPEMNT PROGRAM
APPLICATION**

Date: _____ Time: _____ Case #: _____

APPLICANT'S INFORMATION:

Applicant: _____ SSN: _____

Co-Applicant: _____ SSN: _____

Street Address: _____

Mailing Address: _____

Telephone: Home: _____ Work: _____

Do you reside in Alachua County? yes [] no [] Do you own your residence? yes [] no []

Do you live within the City limits of Gainesville? yes [] no [] Do you rent? yes [] no []

What is your **monthly** mortgage payment? _____ Is it a mobile home? yes [] no []

Do you occupy or intend to occupy this home as your principal residence? yes [] no []

List the names, **ages**, social security numbers, and income of **ALL** persons living in the household:

NAME	AGE	SOCIAL SEC #	INCOME

TOTAL ANNUAL GROSS HOUSEHOLD INCOME for all persons 18 years or over: \$ _____

APPLICANT'S EMPLOYER/SOURCE OF INCOME:

NAME: _____ PHONE: _____

ADDRESS: _____ YEARS EMPLOYED: _____

SPOUSE'S EMPLOYER/SOURCE OF INCOME:

NAME: _____ PHONE: _____

ADDRESS: _____ YEARS EMPLOYED: _____

PLEASE ATTACH A COPY OF EXHIBIT 2, INCOME CERTIFICATION, WITH VERIFICATIONS OF EMPLOYMENT, INCOME, AND ASSETS.

APPLICANT UNDERSTANDS THAT THE INFORMATION PROVIDED IS NEEDED TO DETERMINE ELIGIBILITY FOR S.H.I.P. ASSISTANCE AND IN NO WAY ASSURES THAT THE APPLICANT WILL QUALIFY FOR ASSISTANCE. THE APPLICANT ALSO AGREES TO PROVIDE THE COUNTY WITH COPIES OF DEPENDENTS' BIRTH CERTIFICATES IF DETERMINED TO BE INCOME ELIGIBLE.

THE ABOVE INFORMATION IS TRUE AND ACCURATE:

Applicant's Signature Date Applicant's Signature Date

RETURN APPLICATIONS TO:

ATTACHMENT 7

ALACHUA COUNTY / CITY OF GAINESVILLE SHIP PROGRAM

**INCOME CERTIFICATION
(WITH INSTRUCTIONS)**

1. Home Owner: Existing: _____ OR New Construction: _____
Closing Date: _____ Closing Date: _____

2. Rental: Move-In Date: _____
Is this (check one): Initial Certification ____ Annual Recertification ____

3. This Income Certification is being completed in connection with the undersigned's application for SHIP funding for housing located at:

Street Address: _____, Apt # _____, in _____, located in _____
County, Florida; # bedrooms: _____; total monthly mortgage payment (including taxes and insurance): \$ _____, or monthly tenant rent: \$ _____.

4. Complete the following for all occupants of the unit:

Applicant Name	Relationship	Age	Social Security Number	Student Yes/No

NOTE: "Student" means a person not living with the person's parent or guardian who is eligible to be claimed by the person's parent or guardian as a dependent under the federal Income Tax Code and who is enrolled at least half time in a secondary school, vocational-technical center, community college, or university. The term does not include a person participating in a job training program approved by the county or the eligible municipality.

5. **Student Eligibility:** Are any of the applicants students enrolled at least half time and not eligible to be claimed as a dependent of his/her/their parent(s) or guardian for Federal Income Tax purposes?

Yes _____

No _____

Not Applicable _____

(NOTE: Students are eligible for SHIP assistance if he/she/they do not live with their parent(s) or guardian, and are not a dependent under IRS Code.)

6. **EMPLOYMENT STATUS** (if unemployed, attach unemployment affidavit and copy of last year's signed tax return)

Applicant:

Employer: _____

Position: _____

Years Employed: _____

Annual Salary: _____

Co-Applicant:

Employer: _____

Position: _____

Years Employed: _____

Annual Salary: _____

Other Household Members 18 Years or Older:

Employer: _____

Position: _____

Years Employed: _____

Annual Salary: _____

Employer: _____

Position: _____

Years Employed: _____

Annual Salary: _____

Employer: _____

Position: _____

Years Employed: _____

Annual Salary: _____

7. COMPUTATION of ANNUAL ANTICIPATED GROSS INCOME

STEP 1: Calculate Employment and Other Income for All Household Members Age 18 and Over. Use Anticipated Annual Income for 12 month period following date assistance is received.

ANTICIPATED ANNUAL EMPLOYMENT AND OTHER INCOME *				
Source	Applicant	Co-Applicant	Other Household Member 18 or Older	Other Household Member 18 or Older
Gross Salary (before deductions)				
Overtime Pay				
Commissions				
Fees				
Bonuses				
Interest and Dividends				
Net Income from Business				
Net Rental Income				
Social Security, Pensions, Retirement Funds, etc., Received Periodically				
Unemployment Benefits				
Worker's Compensation, etc.				
Alimony, Child Support				
Welfare, AFDC, Disability, etc., Payments				
Scholarships, Grants (portion intended for living expenses only)				
Other (describe)				
TOTALS				
GRAND TOTAL (Add total for each household member, above):				

* See Attachment 3, Qualification of Applicants, for explanation of included and excluded income.

STEP 2: Calculate Asset Income for ALL Household Members, Including Children 17 and Younger. Use Anticipated Annual Asset Income for 12 month period following date assistance is received.

ANTICIPATED ANNUAL ASSET INCOME *			
Asset Description	Household Member	Total Cash Value	Anticipated Annual Income from Asset
Cash			
Checking Accounts (Institution and Acct. #):			
Savings Accounts (Institution and Acct. #):			
Credit Union Accounts (Institution and Acct. #):			
Stocks, Bonds, Treasury Bills, Certificates of Deposit, Money Market Funds:			
Individual Retirement (IRA) and Keogh Account(s):			
Personal Property Held as an Investment (describe):			
Lump Sum Receipts (describe):			
Other (i.e., rental property, etc.) Describe:			
Assets disposed of for less than fair market value during past two years. Describe:			
1. TOTAL HOUSEHOLD ASSETS			-----
2. TOTAL ACTUAL ASSET INCOME			
3. If line 1 is greater than \$5,000, multiply line 1 by (.02) and enter result here; otherwise, leave blank			

* See Attachment 3. Qualification of Applicants, for explanation of included and excluded assets.

STEP 3: Calculate ANNUAL ANTICIPATED GROSS INCOME Based on Steps 1 and 2, above.

Annual Employment and Other Income: Enter Grand Total From Step 1, above	Annual Asset Income: Enter the greater of lines 2 and 3 from Step 2, above	TOTAL ANNUAL ANTICIPATED GROSS INCOME: Sum of Columns 1 and 2

8. RESIDENT(S) STATEMENT: The information on this form is to be used to determine maximum income for eligibility. I/We understand that ANNUAL ANTICIPATED GROSS INCOME includes total income from all sources (before taxes and withholding) of all adult persons and asset income of all persons, including minor persons, residing or intending to reside in the residence assisted by State Housing Initiatives Partnership (SHIP) funding. I/We certify that the information contained in this form is true and correct, accurately sets forth all information relevant to a determination of my/our household's ANNUAL ANTICIPATED GROSS INCOME as of the date hereof and to the best of my/our knowledge and belief, and is given under penalty of perjury. I/We agree to submit such other evidence of income as may be reasonably required by the participating lender or program administrator including, but not limited to, verification of employment and copies of federal income tax returns. In the event this Income Certification is executed more than five days prior to the date I/we intend to receive SHIP funds or to occupy the unit, I/we hereby agree to update and recertify the accuracy of the information herein provided as of the date I/we first receive SHIP funds or, in the case of rental, occupy the unit or as required by the program administrator.

For homeownership projects, applicants must certify occupancy or intent to occupy the assisted residence as a principal residence. I/we certify that I/we occupy the assisted residence as my/our principal residence, or that I/we will do so within sixty (60) days from the date of closing on a permanent financing loan or from completion of repairs.

For rental projects recertification of the income of tenants residing in this unit is required at least annually for 15 years. I/we hereby agree to provide acceptable verifications of current anticipated income for each person occupying the unit and to execute a Florida Housing Finance agency Income Certification form at time of lease renewal or at least annually.

WARNING: Florida Statutes Chapter 817 provides that willful false statements or misrepresentation concerning income and assets or liabilities relating to financial condition is a misdemeanor of the first degree and is punishable by fines and imprisonment provided under S. 775.082 or 775.83, Florida Statutes.

ALL HOUSEHOLD MEMBERS 18 AND OLDER MUST SIGN:

_____	Date: _____
_____	Date: _____
_____	Date: _____
_____	Date: _____
_____	Date: _____
_____	Date: _____

AFFIDAVIT OF NO INCOME CHANGE

I am signing this AFFIDAVIT OF NO INCOME CHANGE to certify that my household income has not changed, since the time of the completion of my original Income Certification Form, dated _____. It is my understanding that my eligibility to receive assistance from the SHIP Program depends on my household income and that all of the information that has been reported and recorded on the Income Certification Form is true, accurate and current.

WARNING: Florida Statute 817 provides for penalties concerning making of false statements concerning income and assets.

Date of Loan Commitment/ Closing/ Lease Agreement/ Move-in/ Contract for Repairs (circle one, as appropriate):

Income Change: Yes _____ No _____

Applicant: _____

Date: _____

Income Change: Yes _____ No _____

Co-Applicant: _____

Date: _____

Income Change: Yes _____ No _____

Co-Applicant: _____

Date: _____

SHIP Administrator: _____

Date: _____

9. PROGRAM ADMINISTRATOR'S STATEMENT: Based on the representations herein and upon the proofs and documentation submitted, the household named in paragraph 4 of this Income Certification is eligible to participate in the SHIP Program and receive Program funds or, in the case of rental, to live in a unit in the Project. The aggregate anticipated annual income including the total in paragraph 6, Step 3 will be \$_____. Based on the representations herein and upon the proofs and documentation submitted pursuant to paragraphs 5 and 6 hereof, the household constitutes:

(Place a check by the appropriate statement)

- a. An income-eligible household as:
 - (1) Very-low Income (up to 50% of median)
 - (2) Low-Income (51-80% of median)
 - (3) Moderate Income (81-120% of median)

OR

- b. An ineligible household due to being over the income limits allowable under the local SHIP Program.

Signature of SHIP Program Administrator or his/her designated Representative:

_____ Date _____
(Signature)

Name: _____
Title: _____

10. APPLICANT DATA (To be completed by SHIP Program Administrator or his/her designee):

Race:

- White, non-Hispanic
- Black, non-Hispanic
- Hispanic
- Asian/Pacific Islander
- American Indian
- Other

Special Needs:

- Rural residents
- Farmworkers
- Elderly
- Mentally Ill/Disabled
- Handicapped/Physically Disabled
- Homeless
- Persons with HIV/AIDS
- Other

ATTACHMENT EIGHT

ALACHUA COUNTY SHIP PROGRAM
SINGLE FAMILY HOUSING DEVELOPMENT PROGRAM
PROMISSORY NOTE

This Agreement made this _____ day of _____, 200____, between Alachua County, a political subdivision of the State of Florida. ("County") and _____, ("Recipient") who is the title owner of _____, located at _____, in the City of _____, County of _____, Florida.

WHEREAS, the County is carrying out a Housing Grants Program funded by and through the Florida State Housing Initiatives Partnership ("SHIP") Program: and

WHEREAS, pursuant to Section 420.907 et seq., Florida Statutes, the State of Florida has made available to the Recipient, through the County, certain funds to be used in the rehabilitation of housing for families and individuals of very low income: and

WHEREAS, the parties hereto wish to preclude speculation and windfall profit from the sale of properties rehabilitated with said funds:

NOW, THEREFORE, in consideration of the provision of financial assistance to rehabilitate the following described property, the Recipient covenants and agrees as follows:

1. SHIP funds in the amount of _____ (Dollars) (\$ _____) have been provided to or for the benefit of Recipient to rehabilitate the property.

2. If the subject property is transferred, sold, or goes into estate as defined below, either voluntarily or by operation of law, within five (5) years from the date hereof, the Recipient shall return to the County that percentage of said financial assistance shown in Column B below, for any such transfer which becomes effective on or before the anniversary date of this Agreement set forth in Column A below.

<u>Column A</u>	<u>Column B</u>
1st year	100 %
1 - 2 years	80 %
2 - 3 years	60 %
3 - 4 years	40 %
4 - 5 years	20 %
After 5 years	0 %

Transfer means any transfer by deed or otherwise of possession of the subject property for occupancy by one other than the original owner on the date of this Agreement, except where the Recipient dies and the heir(s) occupy the property as their legal residence and are of very-low or low income status.

3. Provision two (2) of this Agreement regarding transfer of the subject property shall not apply to a transfer from the Recipient to the Recipient's spouse if the Recipient's spouse was a member of the Recipient's household on the date of the Agreement, as evidenced by the Income Certification form submitted to the County by the Recipient to establish eligibility for SHIP funds. If the subject property is transferred from the Recipient to the Recipient's spouse, all provisions of this agreement shall run with the land and, thereafter, be applicable to any transfer made by said spouse; provided, however, the time period for reimbursement to the County, as set forth above, shall be computed from the date of this Agreement.

4. The Recipient understands and agrees that this Agreement shall be recorded in the Clerk and Records Office of Alachua County, Florida, and that this Agreement shall be a legal and binding contract between Alachua County and the undersigned individual(s), enforceable in the courts of the United States until automatically released by the terms hereof.

IN WITNESS WHEREOF, the Recipient(s) have executed this Agreement of the _____ day of _____, 20 _____.

OWNER

OWNER

WITNESSES AS TO OWNER(S):

Type or print name:

Address: _____

Type or print name:

Address: _____

STATE OF FLORIDA
COUNTY OF ALACHUA

The foregoing was acknowledged before me this _____ day of _____, 20 _____, by _____
_____. My commission expires _____.
Witness my hand and Official Seal.

Notary Public

STATE OF FLORIDA
COUNTY OF ALACHUA

The foregoing was acknowledged before me this _____ day of _____, 19 _____, by _____
_____. My commission expires _____.
Witness my hand and Official Seal.

Notary Public

